



Section 7

PATENT
ATTORNEY DOCKET NO. 10575/002001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter B. Madoff et al. Art Unit: 2761
Serial No.: 09/272,542 Examiner: not assigned
Filed : 3/19/99
Title : AUCTION MARKET WITH PRICE IMPROVEMENT MECHANISM

Assistant Commissioner for Patents
Washington, DC 20231

Attention: Box Missing Part

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of
Application under 37 CFR 1.53(b) mailed April 7, 1999 (a copy of
which is enclosed), applicant as a large entity submits the
following:

Payment of the basic filing fee of \$760.00
plus additional claim fees of \$516.00.

A Combined Declaration and Power of Attorney in
compliance with 37 CFR 1.63.

Payment of the surcharge of \$130.00 for late filing of
the basic filing fee/declaration.

It is understood that this perfects the application and
no additional papers or fees are required. Please apply any
other charges or credits to Deposit Account No. 06-1050.

Date of Deposit June 2, 1999
I hereby certify under 37 CFR 1.8(a) that this correspondence is
being deposited with the United States Postal Service as first class
mail with sufficient postage on the date indicated above and is
addressed to the Assistant Commissioner for Patents, Washington,
D.C. 20231.

Marie G. Collins
Marie G. Collins

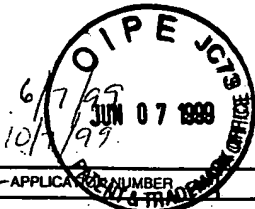
Respectfully submitted,

Date: 6/2/99

Denis G. Maloney
Denis G. Maloney
Reg. No. 29,670

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

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377133-1



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/272,542 03/19/99 MADOFF P 10575/002001

022270407

DENIS G MALONEY
FISH & RICHARDSON
225 FRANKLIN STREET
BOSTON MA 02110-2804

NOT ASSIGNED

2761

DATE MAILED:

04/07/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 7406

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ 740 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 360 for 20 total claims over 20.

\$ 156 for 2 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☒ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.77(b)(2) previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

M. Black
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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